

Schwegman ■ Lundberg ■ Woessner ■ Kluth

# **United States Patent Application**

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name: that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: <a href="ELECTRONIC ASSEMBLY COMPRISING SOLDERABLE">ELECTRONIC ASSEMBLY COMPRISING SOLDERABLE</a>
THERMAL INTERFACE AND METHODS OF MANUFACTURE.

The specification of which was filed on <u>August 31, 2000</u> as application serial no. <u>09/652,430</u>.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. § 1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

## No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

## No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. in the prior United States or PCT international application as defined in 37 C.F.R. § 1.56(a) which became § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

No such claim for priority is being made at this time.

Attorney Docket No.: 884,319USF Serial No. 09/652,430 Filing Date: August 31, 2000



I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

| Aldous, Alan K. Anglin, J. Michael Beekman, Marvin L. Bianchi, Timothy E. Billion, Richard E. Black, David W. Brake, R. Edward Brennan, Leoniede M. Brennan, Leoniede M. Brennan, Thomas F. Brooks, Edward J., Ill Burge, Ben Chu, Dinh C.P. Clark, Barbara J. Clise, Timothy B. Dahl, John M. Draeger, Jeffrey S. Drake, Eduardo E. Embretson, Janet E. Faatz, Cynthia Thomas Fordenbacher, Paul J. Forrest, Bradley A. Gamon, Owen J. Greaves, John N. Harris, Robert J. Hill, Stanley K. Huebsch, Joseph C. | Reg. No. 31,905 Reg. No. 24,916 Reg. No. 38,377 Reg. No. 39,610 Reg. No. 32,836 Reg. No. 32,836 Reg. No. 35,832 Reg. No. 35,075 Reg. No. 35,075 Reg. No. 40,925 Reg. No. 40,925 Reg. No. 41,676 Reg. No. 38,107 Reg. No. 40,957 Reg. No. 44,639 Reg. No. 44,639 Reg. No. 44,639 Reg. No. 41,000 Reg. No. 40,594 Reg. No. 39,665 Reg. No. 39,973 Reg. No. 39,973 Reg. No. 42,546 Reg. No. 30,837 Reg. No. 30,837 Reg. No. 30,837 Reg. No. 30,837 Reg. No. 30,362 Reg. No. 37,346 Reg. No. 37,346 Reg. No. 37,548 Reg. No. 37,548 Reg. No. 37,548 Reg. No. 42,673 | Jurkovich, Patti J. Kalis, Janal M. Kalson, Seth Z. Kaplan, David J. Kaufmann, John D. Klima-Silberg, Catherine I. Kluth, Daniel J. Lacy, Rodney L. Lam, Peter Lemaire, Charles A. LeMoine, Dana B. Lundberg, Steven W. Maeyaert, Paul L. Maki, Peter C. Malen, Peter L. Mates, Robert E. McCrackin, Ann M. Mirho, Charles A. Moore, Charles L., Jr. Nama, Kash Nelson, Albin J. Nielsen, Walter W. Novakoski, Leo V. Oh, Allen J. Padys, Danny J. | Reg. No. 44.813 Reg. No. 37.650 Reg. No. 40.670 Reg. No. 41.105 Reg. No. 24.017 Reg. No. 24.017 Reg. No. 32.146 Reg. No. 32.146 Reg. No. 41.136 Reg. No. 44.855 Reg. No. 36.198 Reg. No. 30.568 Reg. No. 40.062 Reg. No. 42.832 Reg. No. 44.255 Reg. No. 33.742 Reg. No. 33.742 Reg. No. 28.650 Reg. No. 25.539 Reg. No. 25.539 Reg. No. 37.198 Reg. No. 37.198 Reg. No. 35.635 | Park. Ellen Parker, J. Kevin Perdok. Monique M. Prout. William F. Reynolds. Thomas C. Schumm, Sherry W. Schwegman, Micheal L. Scott. John C. Seddon. Kenneth M. Seeley. Mark Skabrat. Steven P. Skaist. Howard A. Smith. Michael G. Speier, Gary J. Steffey. Charles E. Su. Gene I. Terry. Kathleen R. Tong. Viet V. Viksnins. Ann S. Wells. Calvin E. Werner, Raymond J. Winkle. Robert G. Woessner, Warren D. Young. Charles K. | Reg. No. 34.055 Reg. No. 33.024 Reg. No. 42.989 Reg. No. 32.488 Reg. No. 32.488 Reg. No. 39.422 Reg. No. 25.816 Reg. No. 38.613 Reg. No. 34.105 Reg. No. 32.299 Reg. No. 36.279 Reg. No. 36.008 Reg. No. 36.008 Reg. No. 45.368 Reg. No. 45.368 Reg. No. 45.458 Reg. No. 45.458 Reg. No. 45.416 Reg. No. 31.884 Reg. No. 45.416 Reg. No. 37.748 Reg. No. 37.748 Reg. No. 37.748 Reg. No. 37.474 Reg. No. 37.474 Reg. No. 30.440 Reg. No. 39.435 |
|--|---|--|---|---|---|
| Theosen, Joseph C.   | -   |  | 1.  | atly with the person/assign   | ee/attorney/  |

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:
P.O. Box 2938, Minneapolis, MN 55402
Telephone No. (612)373-6900

| statements may jeopardi   | Ze the validity of the approximation   |                         |  |
|---|--|-------------------------|--|
| Full Name of joint inver<br>Citizenship:                        | ntor number 1: <u>Biswajit Sur</u> India 6915 Rockton Avenue   | Residence: San Jose, CA |  |
| Post Office Address:  | Car Inca CA 05119  | 11-5-10                 |  |
| Signature:  | Biswajit Sur   | Date:                   |  |
| Full Name of joint inve<br>Citizenship:<br>Post Office Address: | entor number 2: Nagesh Vodrahalli United States of America 16207 South 13th Avenue Phoenix, AZ 85045 | Residence: Phoenix, AZ  |  |
|   |  | Date:                   |  |
| Signature:  | Nagesh Vodrahalli  |                         |  |
|   | t and abo  | pots, attached hereto.  |  |

Attorney Docket No.: 884,319US1 Serial No. 09/652,430 Filing Date: August 31, 2000



| Full Name of joint invento<br>Citizenship:<br>Post Office Address: | 1430 Cherrydale Drive<br>San Jose, CA 95125 | Residence: San Jose, CA  Date: |   |
|--|---|--------------------------------|---|
| Signature:   | Thomas Workman                              |                                |   |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address:     |   | Residence:                     |   |
| Signature:   |   | Date:                          |   |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address:     |   | Residence:                     |   |
| Signature:   |   | Date:                          |   |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address:     |   | Residence:                     |   |
| Signature:   |   | Date:                          | _ |

Attorney Docket No.: 884.319US1 Serial No. 09/652,430 Filing Date: August 31, 2000



#### § 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by \$\frac{8}{5}\frac{1.97}{(b)-(d)} and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



#### Schwegman ■ Lundberg ■ Woessner ■ Kluth

## **United States Patent Application**

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: <u>ELECTRONIC ASSEMBLY COMPRISING SOLDERABLE</u> THERMAL INTERFACE AND METHODS OF MANUFACTURE.

The specification of which was filed on August 31, 2000 as application serial no. 09/652,430.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. §1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

#### No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

### No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

No such claim for priority is being made at this time.

Attorney Docket No.: 884.319US1 Serial No. 09/652,430

Filing Date: August 31, 2000

DEC 2 7 2000 25

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:
P.O. Box 2938, Minneapolis, MN 55402
Telephone No. (612)373-6900

|  | the validity of the application or any paten                                  | issued thereon.        |   |
|--|---|------------------------|---|
| Full Name of joint invento<br>Citizenship:<br>Post Office Address: | or number 1: <u>Biswajit Sur</u> India 6915 Rockton Avenue San Jose, CA 95119 | Residence San Jose, CA |   |
| Signature:   |   | Date:                  |   |
|  | Biswajit Sur  |                        | - |
| Full Name of joint invent<br>Citizenship:<br>Post Office Address:  | United States of America 16207 South 13th Avenue Phoenix, AZ 85045            | Residence: Phoenix, AZ |   |
| Signature:   | Nagesh Vodrahalli   | Date:                  |   |

Attorney Docket No.: 884.319US1 Serial No. 09/652.430 Filing Date: August 31, 2000

| Full Name of joint inventor Citizenship: Post Office Address:  | Thomas Workman United States of America 1430 Cherrydale Drive San Jose, CA 95125 | Residence: San Jose, CA  Date: 2C - Nov - CC |  |
|--|--|--|--|
| Signature:   | Thomas Workman   |  |  |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address: |  | Residence:                                   |  |
| Signature:   |  | Date:  |  |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address: |  | Residence:                                   |  |
| Signature:   |  | Date:  |  |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address: |  | Residence:                                   |  |
| Signature:   |  | Date:  |  |

Attorney Docket No.: 884.319US1 Serial No. 09/652.430 Filing Date: August 31, 2000



#### § 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of the patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by \$\frac{\frac{8}{1.97}(b)-(d)}{1.98} + 1.97(b)-(d) and 1.98} + 1.98 +
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



Schwegman ■ Lundberg ■ Woessner ■ Kluth

### **United States Patent Application**

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: <u>ELECTRONIC ASSEMBLY COMPRISING SOLDERABLE</u>

THERMAL INTERFACE AND METHODS OF MANUFACTURE.

The specification of which was filed on <u>August 31, 2000</u> as application serial no. <u>09/652,430</u>.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. §1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

### No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

### No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

No such claim for priority is being made at this time.

|                                 | 1                         | •  |
|---------------------------------|---------------------------|----|
| Attorney Docket No.: 884.319US1 | $\int_{-\infty}^{\infty}$ | _  |
| Serial No. 09/652,430           | ,                         | ÜĘ |
| Filing Date: August 31, 2000    | <u> </u>                  |    |

I hereby appoint the allowing attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

| Aldous, Alan K. Anglin, J. Michael Beekman, Marvin I Bianchi, Timothy E. Billion, Richard E. Black, David W. Brennan, Leoniede M. Brennan, Thomas F. Brooks, Edward J., III Burge, Ben Chu, Dinh C.P. Clark, Barbara J. Clisc, Timothy B. Dahl, John M. Draeger, Jeffrey S. Drake, Eduardo E. Brade, Cynthia Thomas Fordenbacher, Paul J. Forrest, Bradley A. Greaves, John N. Herman, John D. Kalson, Seth Z. Kaufman, John D. Klima-Silberg, Catherine I. Kluth, Daniel J. Lacy, Rodney L. Lacy, Rodney L. Law, Reden, A. Hale, Peter C. Lam, Peter Lemaire, Charles A. LeMoine, Dana B. Lundberg, Steven W. Maeyaert, Paul L. Make, Peter C. Malen, Peter L. Mates, Robert E. McCrackin, Ann M. Mirho, Charles A. Nelson, Albin J. Nielsen, Walter W. Novakoski, Leo V. Oh, Allen J. Padys, Danny J. Padys, Danny J. | Reg. No. 44.813 Reg. No. 37.650 Reg. No. 40.670 Reg. No. 40.670 Reg. No. 41.105 Reg. No. 24.017 Reg. No. 32.146 Reg. No. 32.146 Reg. No. 41.136 Reg. No. 44.855 Reg. No. 36.198 Reg. No. 36.198 Reg. No. 40.062 Reg. No. 30.568 Reg. No. 40.076 Reg. No. 42.832 Reg. No. 42.832 Reg. No. 42.832 Reg. No. 44.894 Reg. No. 35.271 Reg. No. 35.271 Reg. No. 42.858 Reg. No. 41.199 Reg. No. 33.742 Reg. No. 33.742 Reg. No. 35.635 Reg. No. 37.198 Reg. No. 37.198 Reg. No. 42.047 Reg. No. 35.635 | Park, Ellen Parker, J. Kevin Perdok, Monique M. Prout, William F. Reynolds, Thomas C. Schumm, Sherry W. Schwegman, Micheal L. Scott, John C. Seddon, Kenneth M. Seeley, Mark Skabrat, Steven P. Skaist, Howard A. Smith, Michael G. Speier, Gary J. Steffey, Charles E Su. Gene I. Terry, Kathleen R Tong, Viet V. Viksnins, Ann S. Wells, Calvin E. Werner, Raymond J Winkle, Robert G. Woessner, Warren D. Young, Charles K. | Reg. No. 34.055 Reg. No. 33.024 Reg. No. 33.024 Reg. No. 42.989 Reg. No. 33.995 Reg. No. 32.488 Reg. No. 39.422 Reg. No. 25.816 Reg. No. 38.613 Reg. No. 43.105 Reg. No. 36.279 Reg. No. 36.008 Reg. No. 45.368 Reg. No. 45.458 Reg. No. 45.458 Reg. No. 45.454 Reg. No. 45.4140 Reg. No. 45.4140 Reg. No. 45.416 Reg. No. 45.416 Reg. No. 45.416 Reg. No. 37.748 Reg. No. 34.752 Reg. No. 34.752 Reg. No. 31.474 Reg. No. 30.440 Reg. No. 30.440 Reg. No. 30.440 Reg. No. 39.435 |
|---|---|--|---|
|---|---|--|---|

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:
P.O. Box 2938, Minneapolis, MN 55402
Telephone No. (612)373-6900

| statements may jeopardize t<br>Full Name of joint inventor<br>Citizenship:<br>Post Office Address: | number 1: Biswajit Sur India 6915 Rockton Avenue San Jose, CA 95119            | Residence: San Jose, CA           |
|--|--|-----------------------------------|
| Signature:   | Biswajit Sur   | Date:                             |
| Full Name of joint invento<br>Citizenship:<br>Post Office Address:                                 | r number 2: Nagesh Vodrahalli United States of America 16207 South 13th Avenue | Residence: Phoenix, AZ            |
| Signature:   | Phoenix, AZ/85045  Nagesh Vodrahalli   | Date: $\frac{\Lambda V > 8}{20V}$ |

Attorney Docket No.: 884.319US1

Serial No. 09/652,430 Filing Date: August 31, 2000

| Full Name of joint invent<br>Citizenship:<br>Post Office Address: | United States of America 1430 Cherrydale Drive San Jose, CA 95125 | Residence: San Jose, CA |  |
|---|---|-------------------------|--|
| Signature:  | Thomas Workman  | Date:                   |  |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address:    |   | Residence:              |  |
| Signature:  |   | Date:                   |  |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address:    |   | Residence:              |  |
| Signature:  |   | Date:                   |  |
| Full Name of inventor:<br>Citizenship:<br>Post Office Address:    |   | Residence:              |  |
| Signature:  |   | Date:                   |  |

### <del>- }</del>

#### § 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.